

# Utilization of Genetic Testing and Surgical Implications in an Ethnically Diverse Hawaiian Population

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## Abstract

Genetic testing is recommended for young women diagnosed with breast cancer. While studies have demonstrated an increased likelihood of variants of unknown significance (VUS) among racial/ethnic minorities compared to non-Hispanic Whites, results in the ethnically diverse population of Hawai'i are largely unknown. Additionally, current consensus guidelines dictate that VUS mutations are not clinically actionable and surgical decision-making is not standardized. This study aims to examine the utilization of genetic testing in Hawai'i and evaluate for any subsequent impacts on surgical practice patterns. A retrospective chart review of women age <45 diagnosed with breast cancer between 2016 and 2020 was performed at a single institution in Honolulu, Hawai'i. Ethnicity, cancer history, detected genes/variants, and surgical intervention were extracted. Of 236 patients identified, 134 (56.7%) were Asian, 57 (24.1%) Native Hawaiian/Pacific Islander (NHPI), and 45 (19.1%) Other. The majority (n=201, 85.2%) underwent genetic testing. A family history of breast cancer was predictive of testing (P<.001). The most common finding was VUS (n= 95, 47.2%) with no statistical difference by ethnicity. Pathogenic mutations were more common in Other (Non-Asian /Non-NHPI) populations (P=.047). All patients with pathogenic mutations underwent bilateral mastectomy (n=16). In contrast, patients with VUS were more likely to undergo partial (n=53, 50.9%) or unilateral mastectomy (n=28, 26.9%) rather than bilateral mastectomy (n=21, 20.2%) regardless of tumor staging (P<.001). This study demonstrated high utilization of genetic testing among young women diagnosed with breast cancer. Pathogenic and non-pathogenic mutations varied according to race. The high prevalence of VUS in this ethnically diverse cohort emphasizes the importance of genetic testing in this population and warrants further research.

## Abbreviations

B/LB = benign/likely benign variant  
BCT = breast-conserving therapy  
MP/HP = moderate penetrance /high penetrance  
NCCN = National Comprehensive Cancer Network  
NHPI = Native Hawaiian/Pacific Islander  
OP = other pathogenic  
P/LP = pathogenic/likely pathogenic  
QMC = The Queen's Medical Center  
VUS = variants of unknown significance

## Introduction

Breast cancer is the most prevalent cancer among women in the United States, with an estimated 297 290 new cases and 43 170 fatalities among women projected for 2023.<sup>1</sup> Risk factors for breast cancer include female sex, obesity, sedentary lifestyle, alcohol consumption, hormonal and menstrual history, and personal or family history of breast cancer.<sup>1,2</sup> Approximately 10-30% of breast cancer cases are hereditary, defined as those who inherit mutations in cancer-predisposing genes such as tumor suppressor, DNA mismatch repair, and oncogenes. *BRCA1* and *BRCA2* (Breast CAncer genes 1 and 2) are the most common mutations associated with hereditary breast cancer and confer a 50-80% increased lifetime risk of breast cancer, however, other genes are also emerging.<sup>3</sup> Moderate to low penetrance genes (*PALB2*, *ATM*, *CHEK2*, *BRIP1*, *RAD51C*, *RAD50*) are associated with a 1.5- to 5-fold increased risk of developing breast cancer while high penetrance genes (*TP53*, *PTEN*, *STK11*, *CDHI*, *LKB1*) confer up to 5- to 20-fold higher risk.<sup>2,4-7</sup> Generally, the higher the penetrance, the higher the risk for developing breast cancer, and mutations in these genes are known as pathogenic variants.<sup>8</sup>

Patients identified with hereditary breast cancer syndromes benefit from increased surveillance, and potentially risk-reducing medications and/or surgery. The current National Comprehensive Cancer Network (NCCN) guidelines recommend genetic testing for patients 50 years or younger diagnosed with breast cancer.<sup>9</sup> The American Society of Breast Surgeons (ASBRS) expands this recommendation to all patients with a personal history of breast cancer as identification of certain pathogenic variants can greatly impact selection of radiation, systemic therapies, and operative decision making.

In addition to pathogenic variants, results may be classified as variant of uncertain significance (VUS), likely benign, and benign variants.<sup>10</sup> As newer multi-gene sequencing panels are developed, the rates of identifying a VUS have risen with reported rates ranging between 6.7% and 41.7% compared to 1-5% in *BRCA 1/2* only panels.<sup>10-22</sup> While it is widely known that germline testing is an essential component of cancer management, racial minorities are under-represented in large genomic sequencing databases.<sup>23,24</sup> Furthermore, multi-gene sequencing panels assessing hereditary cancer risk appear to identify VUS, pathogenic, or likely pathogenic (P/LP) variants more frequently among these Non-White minorities.<sup>25</sup> VUS lack enough data to know whether or not this increases a patient's risk

of developing cancer. As such, current consensus guidelines dictate that the presence of VUS is not clinically actionable.<sup>26</sup> Over time and as more information is gathered, VUS may be re-classified as benign or pathogenic with the majority (91%) of VUS becoming reclassified as benign variants.<sup>27</sup> This has the potential to guide surgical decision making and adjunct therapies. Therefore, increased referral for genetic testing for racial minorities has been advocated.<sup>24</sup>

The incidence of hereditary breast cancer syndromes in Hawai'i, and specifically among Native Hawaiians, is limited to a single retrospective case series performed at the Kapi'olani Medical Center in Honolulu by Carney et al.<sup>28</sup> This study was published over a decade ago. Additionally, no studies have been conducted at the Queen's Medical Center (QMC), which serves as the tertiary referral center for all of the Hawaiian Islands, the US Pacific territories, as well as the independent Pacific Island nations. Furthermore, recent studies have demonstrated higher rates of early breast cancer, diagnosed age <50 particularly in Asian populations.<sup>29,30</sup> This further highlights the need for adequate and comprehensive testing as Asians make up largest ethnic demographic in the state of Hawai'i.<sup>31,32</sup> This study aimed to examine the utilization of genetic testing and evaluate surgical practice patterns after testing in an ethnically diverse Hawai'i cohort.

## Methods

Medical records from patients aged 45 and younger diagnosed with breast cancer between January 1, 2016, through December 31, 2020, at QMC in Honolulu, Hawai'i were retrospectively reviewed. Patients identified as male were excluded. A total of 236 patients met this criterion. Patient data was extracted from the QMC Oncology Database Registry and supplemented by clinical records in CareLink (Medtronic, Minnesota, MN) for data fields not provided by the registry. All data were deidentified prior to analysis and thus was exempt from patient consent requirements. This study was approved by the Institutional Review Board of The Queens Medical Center and complies with ethical regulations.

The following data was extracted: age at diagnosis, self-reported ethnicity, personal and family history of cancers, referral and completion of genetic testing, detected genes or variants, gene penetrance, date and type of surgical intervention, final histology, and biomarkers. Of note, detected genes and variant data were initially extracted as VUS, other pathogenic (OP), moderate penetrance (MP), or high penetrance (HP). Those with HP, MP, and OP genes were grouped as pathogenic genes for analysis. High penetrance genes included BRCA1 and BRCA 2. Moderate penetrance genes included ATM and CHEK2. Other penetrance genes included APC, MSH, MUTYH, BLM, and BMPR1A. Those who underwent genetic testing after surgical intervention were excluded from secondary analysis. The primary objective of this study was to determine if genetic testing is being appropriately utilized in Hawai'i. Secondary objectives were to examine the prevalence of genetic vari-

ants by ethnicity and type of operation performed. The statistical analysis was conducted by using SAS software, version 9.4 (SAS Institute Inc., Cary, NC). ANOVA, Fischer's Exact, Chi-Squared, or Welch T-tests were used to identify statistical significance set at level  $P \leq .05$ . Logistic regression models were used to predict the likelihood of genetic testing, presence of pathogenic mutation, and surgical intervention.

## Results

Of 236 patients identified with breast cancer at age  $\leq 45$ , 134 (56.7%) were Asian, 57 (24.1%) Native Hawaiian/Pacific Islander (NHPI), and 45 (19.1%) Other (Non-Asian or Non-NHPI). The mean age at diagnosis was 40.4. Over half of the patients had a family history of breast cancer ( $n=133$ , 56.3%) or other cancers ( $n=164$ , 69.5%). Most patients demonstrated invasive carcinoma on final histology ( $n=191$ , 80.9%) with early stage 1A disease ( $n=74$ , 31.4%). Of the patients whose biomarker status was identified, the majority were hormone (ER/PR) positive ( $n=136$ , 69.0%), 46 (23.4%) were HER2 positive, and 15 (7.6%) had triple-negative disease (TNBC). Overall, partial mastectomy was the most common surgical procedure ( $n=109$ , 46.2%) followed by bilateral mastectomy ( $n=62$ , 26.3%), unilateral mastectomy ( $n=49$ , 20.8%), and no surgery ( $n=16$ , 6.7%) (Table 1).

The majority underwent genetic testing ( $n=201$ , 85.2%). Women with a family history of breast or other cancers were more likely to have genetic testing (both  $P<.001$ ). There was no association between genetic testing and age at diagnosis ( $P=.28$ ), ethnicity ( $P=.23$ ), histology ( $P=.080$ ), or biomarker status ( $P=.121$ ) (Table 2).

The most common testing result was VUS ( $n=95$ , 47.2%), followed by negative ( $n=82$ , 40.8%), then pathogenic mutation ( $n=24$ , 11.9%). No statistically significant association was identified between VUS and ethnicity ( $P=.667$ ). VUS were more common in those with a family history of cancer ( $P=.031$ ) but not breast cancer specifically ( $P=.150$ ). Pathogenic mutations were proportionally more common  $P=.047$  in Other ( $n=5$ , 12.2%) compared to NHPI ( $n=3$ , 6.7%) and Asian populations ( $n=8$ , 7.0%). Family history of breast cancer was not significant for predicting pathogenic mutations ( $P=.058$ ) and other family history of cancers was non-contributory ( $P=.654$ ). There was no association between gene pathogenicity and final surgical histology (invasive, non-invasive, or other malignancy,  $P=.072$ ) or biomarker status ( $P=.213$ ) (Table 3).

All patients with pathogenic mutations underwent bilateral mastectomy ( $n=16$ ). In contrast, patients with VUS were more likely to undergo partial ( $n=53$ , 50.9%) or unilateral mastectomy ( $n=28$ , 26.9%) rather than bilateral mastectomy ( $n=21$ , 20.2%) (Figure 1). The surgical management of patients with negative genetic results and VUS did not differ significantly ( $P=.66$ ).

## Discussion

Genetic testing has greatly augmented the detection and management of hereditary breast cancer syndromes; how-

Table 1. Demographics of Women Aged 45 and Younger Diagnosed with Breast Cancer at Queens Medical Center, January 1, 2016-December 31, 2020.

		Number (%)
Overall		236
Age (mean, SD)		40.4 ± 4.4
Ethnicity	Asian	134 (56.7%)
	NHPI	57 (24.1%)
	Other	45 (19.1%)
Family History of Breast Cancer		133 (56.3%)
Other Family History of Cancer		164 (69.5%)
Genes	BRCA1	5 (2.5%)
	BRCA2	8 (4.0%)
	ATM	2 (1.0%)
	CHEK2	1 (0.5%)
	APC	1 (0.5%)
	MSH6	1 (0.5%)
	MUTYH	4 (2.0%)
	BMPR1A	1 (0.5%)
	BLM	1 (0.5%)
	VUS	95 (47.3%)
Histology	Invasive carcinoma	191 (80.9%)
	Non-invasive carcinoma	37 (15.7%)
	Other	8 (3.4%)
Biomarkers	ER/PR+	136 (69.0%)
	HER2+	46 (23.4%)
	TNBC	15 (7.6%)
Pathologic Stage (AJCC 8 <sup>th</sup> Edition)	0	38 (16.1%)
	1A	74 (31.4%)
	1B	22 (9.3%)
	2A	41 (17.4%)
	2B	20 (8.5%)
	3A	12 (5.1%)
	3B	5 (2.1%)
	3C	3 (1.3%)
	4	11 (4.7%)
Surgery	Bilateral Mastectomy	62 (26.3%)
	Unilateral Mastectomy	49 (20.8%)
	Partial Mastectomy	109 (46.2%)
	No Surgery	16 (6.8%)

NHPI = Native Hawaiian/Pacific Islander

AJCC = American Joint Committee on Cancer Staging Manual

ever, its utilization in Hawai'i has not been well examined. The incidence of hereditary breast cancer syndromes in Hawai'i is limited to a single retrospective case series by Carney et al published in 2010. This study demonstrated higher utilization of genetic testing than previously reported Carney et al (85% vs 20%). Furthermore, higher utilization was also noted in comparison to nationwide (6-24%) studies of similar timeframe to this study, with most recent data extracted in 2019.<sup>17,18,33</sup> Prior studies have demonstrated that the strongest predictor for referral and completion of genetic testing was any personal or family history of breast cancer.<sup>17-20</sup> This study found that in addition to a personal or family history of breast cancer, family history of other malignancies was also predictive of testing. Further studies evaluating utilization of genetic testing in more resource-restricted community hospitals such as those from neighbor and Polynesian islands are needed.

In 2005, Armstrong et al identified significant racial disparities in breast and ovarian genetic testing between African Americans and Whites even after adjusting for socioeconomic status, perception, and attitudes.<sup>3</sup> Since then, larger and multicenter studies have been published demonstrating no significant difference in rates of testing between racial/ethnic groups.<sup>17-19</sup> This study echoes these recent findings of appropriate use of testing in ethnic minorities, suggesting current practice habits are becoming more equitable in this regard.

Socioeconomic factors also may be a barrier to testing in some populations.<sup>17,19</sup> In Japan, genetic testing for hereditary and ovarian cancer syndromes was not covered by insurance until 2020.<sup>34</sup> Following the revision of public insurance policy, rates of risk reducing surgery and presumably genetic testing increased. While socioeconomic barriers were not examined in this study, this may be an area of

Table 2. Predictors of Genetic Testing in Women Aged 45 and Younger Diagnosed with Breast Cancer at Queens Medical Center, January 1, 2016-December 31, 2020.

		All Patients	Genetic Testing Performed?		
			No No. (%)	Yes No. (%)	P-value
Overall		236	35 (14.8%)	201 (85.2%)	
Age		40.4 ± 4.4	41 ± 3.3	40.3 ± 4.5	.28 <sup>a</sup>
Ethnicity	NHPI	57	12 (20.1%)	45 (78.9%)	.23 <sup>c</sup>
	Asian	134	19 (14.2%)	115 (85.8%)	
	Other	45	4 (8.9%)	41 (91.1%)	
Family History of Breast Cancer		133	8 (6.7%)	125 (93.3%)	<.001 <sup>b</sup>
Other Family History of Cancer		164	15 (9.1%)	149 (90.9%)	<.001 <sup>b</sup>
Histology	Invasive carcinoma	191	28 (14.6%)	163 (85.3%)	.080 <sup>c</sup>
	Non-invasive carcinoma	37	5 (13.5%)	32 (86.4%)	
	Other	8	2 (25.0%)	6 (75.0%)	
Biomarkers	ER/PR+	136	20 (14.7%)	116 (85.3%)	.121 <sup>c</sup>
	HER2+	46	9 (19.6%)	37 (80.4%)	
	TNBC	15	0	15 (100%)	

NHPI= Native Hawaiian/Pacific Islander

<sup>a,b,c</sup> P-values calculated using Fischer's Exact<sup>a</sup>, Welch T-test<sup>b</sup>, or Chi-Squared<sup>c</sup>. Rates of genetic testing in those with a family history of breast or other cancers compared to those without any history (values not shown)

future research and focus in order to increase testing and risk reducing strategies for at risk populations.

Current databases are primarily derived from individuals of European descent. This deficiency is further augmented by lower awareness and limited access to healthcare in addition to more negative attitudes regarding safety and risk of genetic discrimination amongst racial and ethnic minorities.<sup>20,35</sup> This paucity of racial diversity in hereditary cancer databases translates to higher rates of VUS in non-White populations. Asian populations have nearly double rates of VUS (13-42%) compared to Europeans (6-27%), and similar patterns exist in Hispanic and African Americans as well.<sup>36-38</sup> While the correlation between the incidence of VUS and ethnicity was not statistically significant in this study, the distribution of results is concordant with prior studies which suggest a trend toward higher incidence of VUS in Other (Non-Asian and Non-NHPI) populations.<sup>19,22, 25,26,28,39,40</sup>

While BRCA1/2 are the most cited hereditary susceptibility genes for breast cancer, prevalence varies by ethnicity.<sup>41,42</sup> Several genes including TP53, BARD1, PALB2, PTEN, ATM, and CHEK2 have been implicated more frequently in non-European patients and at varying rates depending on race.<sup>41,43-46</sup> A large retrospective study published in 2021 by Yadav et al is the most recent study to explore this discrepancy and identified variants such as BARD1 which conferred higher risks (OR>4) of malignancy in Blacks, Hispanics, and Asians, while ATM conferred an increased risk of cancer in all races except Asians.<sup>41</sup> This study's findings of significantly higher incidence of pathogenic genes in non-Whites ( $P=.047$ ) reaffirms the findings identified by Yadav et al and further highlights the impor-

tance of selecting the appropriate genetic testing panels when referring and counseling patients.

Results of genetic testing have the potential to impact operative decision making. A 2021 systematic review by Makhnoon et al demonstrated that BRCA 1/2 patients with P/LP variants were 7.5 times more likely to undergo bilateral mastectomy than those with VUS, while rates of BCT, partial and unilateral mastectomy were not significantly different.<sup>36</sup> This is unsurprising as BRCA L/LP variants confer up to a 60% risk of developing contralateral disease and multiple prospective studies have demonstrated 0-2% incidence of breast cancer after risk-reducing mastectomy within a 3-6 year follow up. Bilateral mastectomy is an appropriate risk reducing strategy.<sup>47-50</sup> In this cohort, bilateral mastectomy was performed for all patients with pathogenic mutations regardless of tumor staging (n=16/16); however, this study's small sample size may bias this finding. No significant surgical trends were found in those with negative or VUS mutations. VUS are clinically unactionable, and the lack of a trend seen in this study is highly reflective of the shared decision making between the patient and provider for each individual case.

Six large randomized control trials have demonstrated similar survival and local recurrence rates with breast-conserving therapy (BCT) compared to mastectomy in early-stage cancers.<sup>51-60</sup> While no randomized controlled trials exist comparing BCT and mastectomy in patients with hereditary breast and ovarian cancer syndromes, several retrospective studies have demonstrated the safety of breast-conserving surgery followed by lifelong high-risk surveillance in patients with high penetrant mutations.<sup>51-53,61-64</sup> A few studies including a systematic review published in 2020 demonstrated similar survival rates with

Table 3. Genetic Testing Results in Women Aged 45 and Younger Diagnosed with Breast Cancer at Queens Medical Center, January 1, 2016-December 31, 2020.

		All Patients	Results of Genetic Testing				
			Pathogenic Mutation No. (%)	P-value	VUS No. (%)	P-value	Negative No. (%)
Overall		201	24 (11.9%)		95 (47.2%)		82 (40.8%)
Ethnicity	NHPI	45	3 (6.7%)	.047 <sup>b</sup>	22 (48.9%)	.67 <sup>b</sup>	20 (44.4%)
	Asian	115	8 (7.0%)		63 (54.8%)		44 (38.3%)
	Other	41	5 (12.2%)		19 (46.3%)		17 (41.5%)
Family History of Breast Cancer		125	13 (10.4 %)	.058 <sup>a</sup>	59 (47.2%)	.150 <sup>a</sup>	53 (42.4%)
Other Family History of Cancer		149	13 (8.7%)	.65 <sup>a</sup>	83 (55.7%)	.031 <sup>a</sup>	53 (35.6%)
Histology	Invasive carcinoma	163	11 (10.7%)	.072 <sup>b</sup>	82 (50.3%)	.22	70 (42.9%)
	Non-invasive carcinoma	32	4 (12.5%)		20 (62.5%)		8 (25.0%)
	Other	6	1 (16.7%)		1 (16.7%)		4 (66.7%)
Biomarkers <sup>c</sup>	ER/PR+	116	14 (12.1%)	.21 <sup>b</sup>	49 (42.2%)	.32	53 (45.7%)
	HER2+	37	4 (10.8%)		18 (48.7%)		15 (40.5%)
	TNBC	15	1 (6.7%)		8 (53.3%)		6 (40.0%)

<sup>a,b</sup> P-values calculated using Welch T-test<sup>a</sup> or Chi-Squared<sup>b</sup>.

<sup>c</sup> Biomarker status unavailable for all tested patients

BCT compared to mastectomy, however, results are conflicting regarding ipsilateral recurrence.<sup>63,65</sup> While current practice here in Hawai'i appears to favor bilateral mastectomy, a breast conservation approach may also be considered for patients with pathogenic mutations who are well informed and can commit to lifelong high risk surveillance.

This study was limited by the retrospective nature and acquisition of data from a single center in Hawai'i. Furthermore, the study analysis did not account for the type of testing (Myriad, Invitae, other) nor number of genes tested for each individual. While current NCCN guidelines recommend genetic testing for individuals age less than 50, at the time of this study age 45 and under was recommended. Therefore, this age of testing was utilized for this study. The dataset was also limited in its small sample size of Other (Non-Asian/Non-NHPI) participants (n=45, 19%) which encompassed all White, Black, Hispanic/Latino, and American/ Indian and Alaska Native individuals and were subsequently grouped together as a result. Therefore, the effect of Non-Asian ethnicity on the incidence of pathogenic mutations may be biased and the granularity between White and Non-White individuals is not reflected in this study. Additionally, there was no differentiation between multi and single-race individuals, therefore the true ethnic distribution of this cohort may in fact be more similar to

the state census than currently reflected. Nonetheless, this study captures a more diverse ethnic cohort compared to the nationwide average and provides valuable insight into the incidence of VUS on genetic testing.

## Conclusions

Genetic testing was appropriately utilized in this ethnically diverse cohort of women with breast cancer. VUS was the most common genetic testing result and appears to be more prevalent in Asian populations. Continued implementation of genetic testing to build upon these findings is imperative to expand our knowledge of hereditary breast and ovarian cancer syndrome in these groups of patients.

## Conflicts of Interest and Disclosures

All authors have no conflicts of interest or disclosures to declare.

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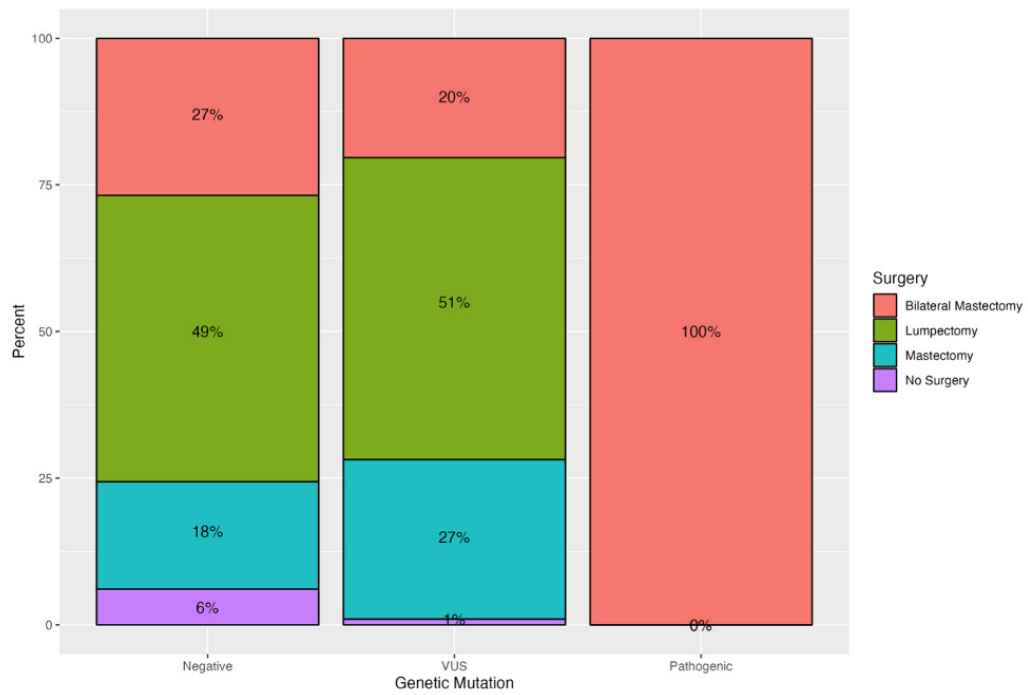


Figure 1. Genetic Testing Results and Subsequent Surgical Intervention in Women Aged 45 and Younger Diagnosed with Breast Cancer at Queens Medical Center, January 1, 2016-December 31, 2020.

VUS = variants of unknown significance

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